1	BEFORE THE
2	ILLINOIS COMMERCE COMMISSION
3	NEUSTAR, INC.) DOCKET NO.
4	In Its Role as North American) 01-0656 Numbering Plan Administrator)
5	Petition for Approval of Numbering)
6	Plan Area Relief Planning for the) 217 NPA.)
7	Springfield, Illinois May 26, 2004
8	Met, pursuant to notice, at 1:30 P.M.
9	
10	BEFORE:
11	MR. JOHN ALBERS, Administrative Law Judge
	APPEARANCES:
12	MR. JAMES HUTTENHOWER
13	225 West Randolph Street, HQ 25D Chicago, Illinois 60606
14	
15	(Appearing on behalf of Illinois Bell Telephone Company via teleconference)
16	MR. JOSEPH D. MURPHY
17	306 West Church Street Champaign, Illinois 61820
18	(Appearing on behalf of Cingular Wireless
19	via teleconference)
20	
21	SULLIVAN REPORTING COMPANY, by
22	Carla J. Boehl, Reporter Ln. #084-002710

1	APPEARANCES: (Cont'd)
2	MS. JULIE SODERNA 208 South LaSalle Street, Suite 1760
3	Chicago, Illinois 60604
4	(Appearing on behalf of Citizens Utility Board via teleconference)
5	
6	MS. KIMBERLY MILLER 2000 Elm Street, Northwest, Suite 600 Washington, D.C. 20006
7	(New York of the Late of New Charles Transport
8	(Appearing on behalf of NeuStar, Inc., via teleconference)
9	MR. THOMAS STANTON
10	160 North LaSalle, Suite C-800 Chicago, Illinois 60601
11	(Appearing on behalf of Staff of the Illinois Commerce Commission via
12	teleconference)
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1		I N D E X
2	WITNESSES	DIRECT CROSS REDIRECT RECROSS
3	None.	
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11	EXHIBITS	MARKED ADMITTED
12	None.	
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1	PROCEEDINGS
2	JUDGE ALBERS: By the authority vested in me by
3	the Illinois Commerce Commission, I now call Docket
4	Number 01-0656. This docket was initiated by
5	NeuStar in its role as the North American Numbering
6	Plan Administrator and concerns numbering plan
7	relief planning for the 217 NPA.
8	May I have the appearances for the record,
9	please?
10	MR. HUTTENHOWER: James Huttenhower,
11	H-U-T-T-E-N-H-O-W-E-R, appearing on behalf of
12	Illinois Bell Telephone, 225 West Randolph Street,
13	Suite 25D, Chicago, Illinois 60606, and my
14	telephone number is (312) 727-1444.
15	MR. MURPHY: On behalf of Cingular Wireless,
16	Joseph D. Murphy, 306 West Church Street, Champaign,
17	Illinois 61820, telephone (217) 352-0030.
18	MS. MILLER: Kimberly Miller representing
19	NeuStar, 2000 Elm Street, Northwest, Suite 600,
20	Washington, D.C., 20006, (202) 553-2912.
21	MR. STANTON: Tom Stanton on behalf of the
22	Illinois Commerce Commission, 160 North LaSalle,

1 Suite C-800, Chicago. JUDGE ALBERS: Off the record. 2 3 (Whereupon there was 4 then had an 5 off-the-record 6 discussion.) 7 JUDGE ALBERS: Back on the record. 8 MR. STANTON: On behalf of the Staff of the Illinois Commerce Commission, Thomas R. Stanton, 160 9 10 North LaSalle Street, Suite C-800, Chicago, Illinois 60601. 11 12 MS. SODERNA: Julie Soderna, S-O-D-E-R-N-A, on 13 behalf of the Citizens Utility Board, 208 South LaSalle Street, Suite 1760, Chicago, Illinois 14 15 60604. 16 JUDGE ALBERS: And are there any others wishing 17 to enter an appearance? Let the record show no 18 response. As far as preliminary matters, I have got a 19 20 few petitions to intervene that I received since we

last met. I have the petition to intervene of

Verizon Wireless, Citizens Utility Board, Quincy

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- 1 Area Chamber of Commerce, and the Illinois
- 2 Telecommunications Association, Inc. Is there any
- 3 objection to any of those petitions to intervene?
- 4 Hearing none, they are all granted.
- 5 Did anybody else have any preliminary
- 6 matter? Okay. Turning to why I called this
- 7 hearing, I will just note first that we have had the
- 8 public forums that the Commission agreed was prudent
- 9 to call, although there was not much turnout at many
- of those. And if anyone is interested in the
- 11 transcripts, however, they can be found on e-Docket.
- 12 I will also note that I understand that the
- latest projected exhaust date in the 217 NPA is in
- the fourth quarter of 2008. Ms. Miller, that's
- 15 correct, isn't it?
- 16 MS. MILLER: That's correct. This is Kimberly
- 17 Miller.
- 18 JUDGE ALBERS: Thank you. Despite this new
- 19 exhaust projection date, nothing technically
- 20 prohibits the Commission from nevertheless choosing
- 21 a relief alternative in the relatively near future
- for later implementation. I don't see any reason

- why we can't go ahead and try to do that at this

 time. This docket has set open for quite awhile

 since it was initially started by NeuStar and now

 that we have had what input there is from the

 public, I think we can go ahead and try to pick an

 alternative.
 - So with that, if anyone has any comments regarding that statement, feel free to make them now. Otherwise we can go off the record and talk about scheduling.

- MS. SODERNA: This is Julie Soderna from CUB.

 I guess my instinct is that, you know, 2008 is a
 long way away. That's four and a half years away,
 and circumstances could very well change, external
 circumstances. Also those -- the attitudes of the
 public could also drastically change in four and a
 half years. I mean, a lot of things can happen in
 four and a half years, and I am not so sure that it
 is the best course to go ahead and set the relief
 alternative this far in advance. I have some
 concerns about that.
- 22 JUDGE ALBERS: Well, one alternative to not

2	docket.	However,	it is my understanding that
3	NeuStar	fulfilled	its obligation back in 2001 when

doing anything now in this docket is to dismiss this

it told us that the area code was nearing exhaust,

5 and I don't believe NeuStar has a continuing

half and then refile.

6 obligation to come back to us a second time when it

gets closer to 2008 or whenever we need to get

serious again about the projected exhaust date.

Ms. Miller, can you confirm that understanding?

MS. MILLER: Well, we have, you know, no affirmative obligation to come back to you as long as this original petition is pending. But if it is formally dismissed, what we would end up doing is coming back to you I think it's 36 months out from exhaust and file a new petition. So we would have to go through the process again, get the industry together, get a new consensus, create a new petition and file it at that time. So our obligation is, you know, to initiate that process 36 months in advance to exhaust. So we would wait about a year and a

1 MR. MURPHY: This is Joe Murphy. I have what I
2 think is a question. If this docket is dismissed,
3 what does that do to the current jeopardy, current
4 jeopardy, situation in 217 and more specifically the
5 jeopardy code allocation.

JUDGE ALBERS: I have that question as well.

MS. SODERNA: Well, if your concern is that it will approach exhaust quicker than the current estimate without the parties being aware, I don't think that should be a concern at all. I think the Commission Staff has shown that they are paying very close attention to the period NANPA data that comes out, the biennial NANPA data; and the exhaust dates, they are very much made public and paid close attention to.

MR. MUPRHY: I guess the reason -- this is Joe Murphy again -- that I ask my question is that if the jeopardy condition is abated and codes can be issued other than under the jeopardy circumstance, there are a lot of different situations that could cause a very fast acceleration of the exhaust, I think.

- JUDGE ALBERS: Well, let me hear from 1 Ms. Miller on that because I believe that was 2 Ms. Soderna that just made that last comment before 3 4 you, Mr. Murphy. MS. SODERNA: 5 Right. JUDGE ALBERS: Ms. Miller, if this docket is 6 7 dismissed without any type of relief planning 8 adopted, would the jeopardy status be lifted? MS. MILLER: I am not a hundred percent sure, 9 10 but my understanding is that just because it is 11 dismissed, it doesn't mean jeopardy would be 12 removed. Actuall it would continue unless industry 13 seeks to change that. JUDGE ALBERS: What would it take for the 14 industry to change that? 15 MS. MILLER: I believe it would take two 16 17 different parties to request in writing from us to 18 get the industry together to agree to rescind jeopardy. 19 JUDGE ALBERS: And it would still take a 20
- 20 JUDGE ALBERS: And it would still take a
 21 consensus among the industry group to lift the
 22 jeopardy status?

- 1 MS. MILLER: That's my understanding.
- JUDGE ALBERS: Okay. Does anybody else have
- 3 any comments or concerns about adopting or
- 4 attempting to move forward and picking a relief plan
- 5 at this point?
- 6 MR. GREEN: I can't speak, right?
- 7 JUDGE ALBERS: No. I take the silence as not
- 8 having any thoughts on that.
- 9 MS. SODERNA: May I provide one suggestion?
- 10 This is Julie Soderna again.
- JUDGE ALBERS: Okay. Go ahead.
- MS. SODERNA: We could do what we have done in
- 13 other dockets and sort of wait until the next set of
- 14 NANPA data comes out that would give an indication
- of whether this current exhaust projection is, you
- 16 know, likely to continue to be correct. I mean, as
- we have seen repeatedly, I mean, I don't think any
- of these exhaust projections have ever been exactly
- 19 correct, but they seem to be approaching more
- 20 accurate figures as the time has gone by. And if we
- 21 wait for one more set to come out, maybe we can have
- 22 a better predictor of when the real exhaust is going

- 1 to be and have a better -- be more informed about
- 2 going forward.
- JUDGE ALBERS: Well, we just had one on April
- 4 30, I believe is when it came out. When should we
- 5 expect the next one?
- 6 MS. SODERNA: The next data?
- 7 MS. MILLER: The end of October, we believe.
- JUDGE ALBERS: Okay. Well, here is my concern.
- 9 I still have this open docket before me for that
- 10 long. And as I said, nothing requires us to
- implement right way whatever relief we pick. In the
- 12 alternative this could be dismissed and I am not
- necessarily opposed to doing that either. I don't
- know if that would be prudent, though, not having
- 15 heard from any others on that idea.
- 16 Is there any objection to this current
- 17 docket being dismissed?
- 18 MR. STANTON: Judge, this is Tom Stanton. To
- get to the heart of the matter, there is benefits of
- 20 keeping this docket open in terms of forestalling
- 21 number exhaust or possible code utilization. I
- 22 think Mr. Murphy has mentioned those. I would also

have to -- you know, on the other side there may be some administrative burdens in terms of keeping this open. You know, there is an outstanding docket at the Commission that does preclude some people from talking to other people, staff members from talking to other people. But I think in this case, you know, given that the benefits do outweigh the burdens and I think one possibility is if we go and pick whether a split or an overlay or the particular relief, I think we are going to be, as Ms. Soderna mentioned, that may change and we are going to do it possibly prematurely in getting public comment on it and kind of getting people interested in the issue when it may happen four years down the road.

So I am not sure that that's the route we want to go down at this point when we know that the exhaust right now is in 2008. On behalf of Staff, I think maybe the best alternative is to maybe continue this docket for maybe four months, five months, six months, until October, until we can look at those numbers. We can reconvene and we will see where we stand then.

- 1 JUDGE ALBERS: Well, what are the benefits in Staff's opinion to keeping this open? 2 MR. STANTON: The benefits would be that the 3 4 jeopardy would still be in effect and the code use would continue to be rationed. 5 JUDGE ALBERS: Well, I want to make sure I 6 understand, though, it sounds like Ms. Miller said 7 8 that the jeopardy may also continue even if this docket is dismissed. 9 10 MR. LIGHT: Judge Albers, this is George Light. I think the main concern is that if the docket is 11 12 dismissed, the jeopardy doesn't necessarily go away. 13 JUDGE ALBERS: George, as the ALJ assistant I 14 don't know if you should be on the record. 15
- MR. LIGHT: I am sorry. I thought I could make a comment here.
- JUDGE ALBERS: I appreciate your wanting to help; I just don't know procedurally.
- MR. MURPHY: Judge, Joe Murphy, I guess my

 concern -- and I defer to Ms. Miller on these

 topics; she is certainly in a better position to

 know how this works than I am. I guess I would be

1	concerned about dismissing this unless I was sure
2	that Ms. Miller was correct about the jeopardy
3	situation. And I guess my suggestion is that you
4	continue the docket for six months during which time
5	you will get another NRUF and during which time
6	anybody who wants to confirm the procedures for
7	jeopardy can.

MS. MILLER: Yes, at this time I can't confirm what's happening or what will happen with the jeopardy. But, you know, my understanding is that a Commission proceeding and NANPA's declaration of jeopardy are independent of one another. So things could change with jeopardy regardless of what the Commission does, depending on the industry.

JUDGE ALBERS: Okay. So even if this docket continues open, you think it is possible that the industry could come to NANPA and ask that the jeopardy be lifted?

MS. MILLER: It could.

JUDGE ALBERS: Okay. Now, I am not trying to fault you here any but I think you said earlier you weren't a hundred percent sure that was the way it

1 would work? MS. MILLER: No, I am not a hundred percent 2 3 sure. I am not an expert on the jeopardy 4 procedures. JUDGE ALBERS: Could you please find out, just 5 verify whether or not your understanding is correct, 6 7 and submit something briefly describing how that would work? 8 MS. MILLER: I can do that. If I can find out 9 10 from our director within the next ten seconds, I can 11 maybe clarify it on the phone. Let me try that 12 while you continue to discuss it. 13 JUDGE ALBERS: Why don't we just recess for a 14 few minutes so we don't discuss anything that you 15 might be want to be a part of. 16 (Whereupon there was 17 then had an 18 off-the-record 19 discussion.) 20 JUDGE ALBERS: Back on the record. I believe

Ms. Miller has an answer for our question.

MS. MILLER: Thank you, Judge. I confirmed

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- with the director of NANPA that jeopardy rationing
- in this area code is independent of the Commission's
- 3 proceeding. And, therefore, it is a decision right
- 4 now based upon NANPA and the industry as to whether
- 5 rationing continues or is rescinded, and it is not
- 6 dependent upon whether the Commission dismisses this
- 7 NPA petition.
- 8 JUDGE ALBERS: Would the lack of an open docket
- 9 here at the Commission somehow make it easier for
- jeopardy to be lifted?
- MS. MILLER: No.
- JUDGE ALBERS: Even as a practical matter?
- MS. MILLER: No.
- JUDGE ALBERS: Okay.
- MR. MURPHY: Can I ask the question a different
- 16 way? Is the existence of a docket -- does the
- 17 existence of a docket place any procedural
- 18 complications on lifting jeopardy?
- MS. MILLER: No.
- 20 MR. MURPHY: So the industry could get together
- 21 tomorrow if two companies asked for it and agree to
- 22 lift jeopardy?

1	MS. MILLER: Yes, if the, you know, if there is
2	a consensus process. So we would have to have two
3	independent companies petition us to get the
4	industry together and then the industry would have
5	to get together and then there would have to be a
6	consensus.
7	JUDGE ALBERS: So let me ask you this. I don't
8	mean to be picking on these first two companies;
9	they are the first ones that come to mind. SBC and
LO	Cingular Wireless couldn't get together and ask for
L1	an industry meeting?
L2	MS. MILLER: If they are independent companies,
L3	they can.
L4	JUDGE ALBERS: But SBC and Cingular Wireless
L5	are affiliates; they couldn't come together?
L6	MS. MILLER: I don't know if they are
L7	considered under our policies two independent
L8	companies or not. I would imagine they are because
L9	one is a wireless and one is the land line.
20	JUDGE ALBERS: So they would be considered
21	independent for your purposes?

MS. MILLER: I can't confirm that without going

- 1 to our policies, but yes, I think.
- JUDGE ALBERS: Okay. Well, that's fine. I am
- just trying to understand the full impact of
- 4 anything we might do here. And again the consensus,
- 5 how many would it take to qualify as a consensus?
- 6 MS. MILLER: It depends on how many show up at
- 7 the meeting. So it is more than a majority but less
- 8 than unanimous.
- 9 JUDGE ALBERS: Okay. It could be 51 percent?
- 10 MS. MILLER: It would normally be more than 51
- 11 percent.
- 12 JUDGE ALBERS: Okay. Are you talking about
- maybe 75 percent? I am not trying to make this
- 14 difficult for you. I am just trying to again figure
- out the potential impacts here.
- MS. MILLER: Well, the way consensus is defined
- is more than a simple majority but less than
- 18 unanimous. That's as clear as I can be.
- 19 JUDGE ALBERS: Okay. That's fine. Does any of
- Ms. Miller's comments change anyone's opinion as far
- 21 as keeping this docket open? Ms. Soderna, I guess I
- 22 would be interested in your opinion.

- MS. SODERNA: Well, I don't know how to say
 this. I think that -- I still think that it is a
 good idea to keep the docket open and to wait until
 the next set of NRUF data come out and to make a
 decision at that point.
- JUDGE ALBERS: And, again, not trying to put

 anybody on the spot here, but could you tell me at

 this point what type of NRUF data you would like to

 see before you think it would be prudent to dismiss

 or go ahead and try to pick a --
- 11 MS. SODERNA: I think the reason I say that is
 12 because the NRUF data prior to the most recent,
 13 wasn't the exhaust first quarter 2005?
- JUDGE ALBERS: I believe that's correct.
- MS. SODERNA: In one six-month period it went from first quarter 2005 to fourth quarter 2008.

 That's a very large jump in one set of NRUF data.

 And I think all I am asking is that we wait and see,
- get one more set of NRUF data so we can be certain
 that that trend is correct and then we can make a
- 21 more informed decision at that time.
- 22 MS. MILLER: Judge, this is Kimberly Miller

- 1 again. Let me interject and correct myself which
- would clear up a lot of things. There are a lot of
- 3 consensus processes the industry can change in
- 4 relation to NANPA action including NPA relief
- 5 decisions. But jeopardy is the one exception to
- 6 that. So, therefore, what I was talking about,
- 7 about two independent companies being able to
- 8 petition NANPA to rescind jeopardy, that's not
- 9 possible. So whether to rescind jeopardy or not is
- right now wholly within NANPA's perview.
- JUDGE ALBERS: Okay. So now you are saying
- that if we dismiss this docket, jeopardy would still
- continue and only NANPA could decide to lift
- jeopardy.
- MS. MILLER: Right.
- MR. MURPHY: This is Joe Murphy again. Could
- 17 NANPA decide to lift jeopardy even though the docket
- 18 remains open?
- MS. MILLER: Yes, and that I am sure of.
- JUDGE ALBERS: Well, does that clarification
- 21 change anyone's opinion?
- 22 MS. SODERNA: That confirms my opinion, Judge.

- 1 This is Julie Soderna.
- MR. MURPHY: Let me ask one more question.
- 3 This is Joe Murphy again. Does the existence of an
- 4 open Commission docket normally affect whether NANPA
- 5 would be willing to remove the jeopardy circumstance
- 6 or not?
- 7 MS. MILLER: I know what you are getting at,
- 8 Joe. Officially, no. In practice NANPA takes into
- 9 a lot of considerations and the Commission's
- 10 feelings in a certain matter would definitely be one
- of those things we would consider.
- 12 JUDGE ALBERS: Okay.
- MS. MILLER: But an open docket is not
- 14 necessarily the only way to express an opinion.
- JUDGE ALBERS: Well, does anybody have anything
- 16 else they want to add then before I have a few final
- thoughts?
- MS. SODERNA: No, Your Honor.
- JUDGE ALBERS: I guess based on everything I
- heard then, I want to think a little bit more about
- 21 whether or not it would be better to keep this open
- 22 or else to issue a proposed order recommending

- dismissal.
- MS. MILLER: I have one more question. What
- 3 happens to the public comments in this proceeding if
- 4 it is dismissed?
- JUDGE ALBERS: Well, they would remain, you
- 6 know, part of the file in this case. They are not
- 7 technically part of the record in the sense that the
- 8 Commission is obligated to consider them. So in a
- 9 way, even if this docket remains open and a decision
- is ultimately made in this docket, the amount of
- 11 weight to give to the public comments is
- 12 questionable. That's one way to put it.
- Does that answer your question?
- MS. MILLER: Yes, I thought that was an
- important consideration.
- 16 JUDGE ALBERS: Any other comments or questions?
- 17 Well, I think that concludes my thoughts then. I
- 18 just want to think about this some more. The next
- exhaust forecast would be in October generally?
- MS. MILLER: Yes.
- 21 MS. SODERNA: Judge, I apologize. This is
- 22 Julie Soderna. I have to leave. I have to go to my

Τ	other hearing.
2	JUDGE ALBERS: I am sorry, yes. I was going to
3	continue this matter generally right now, anyway.
4	MS. SODERNA: Okay. I didn't mean to rush you.
5	JUDGE ALBERS: No, I was just taking some
6	notes. All right. So this matter is continued
7	generally.
8	(Whereupon the hearing
9	in this matter was
10	continued generally.)
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